

ORIGINAL**The new procedure for manual CPAP titration :
the afternoon CPAP titration (aPT)**Keisuke Kido^{1,2,3} and Naoko Tachibana^{1,2,3}

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Abstract : Study Objectives : Although the full-night continuous positive airway pressure (CPAP) titration (fnPT) has been recognized as the gold standard for determining an optimal therapeutic pressure for obstructive sleep apnea (OSA) treatment, it is labor-intensive, time-consuming because it requires overnight polysomnography attended by well-experienced sleep technologists. The aim of this study is to develop a practical and feasible alternative titration method. **Methods :** We assessed demographic data and diagnostic polysomnographic parameters, time spent in CPAP titration, CPAP efficacy and long-term adherence of the two groups of our OSA patients who had received CPAP titration either by fnPT (n=46) or by afternoon CPAP titration (aPT, n=22). **Main results :** Mean total recording time of aPT was significantly shorter than that by fnPT ($p < 0.0001$). There was no significant difference in mean residual apnea hypopnea index (AHI) on treatment, percent days with device usage, cumulative device usage hours during the 360 days, average device usage hours per day (360 days, days used), and percent of days with device usage ≥ 4 hours during the 360 days after starting CPAP treatment between the two groups. **Conclusions :** Our study demonstrated that aPT was feasible procedure as an alternative to fnPT. *J. Med. Invest.* 68:170-174, February, 2021

Keywords : obstructive sleep apnea, polysomnography, CPAP titration, CPAP adherence

INTRODUCTION

Obstructive sleep apnea (OSA) is characterized by repetitive collapse of the upper airway during sleep, leading to recurrent arousals, intermittent hypoxia and hypercapnia and numerous surges of sympathetic activity (1, 2). It has been widely recognized that OSA is associated with neuropsychological dysfunction (3), decreased quality of life (4) and motor vehicle accidents (5). In addition, increased risk of hypertension (6), metabolic syndrome (7) and higher risk of cardiovascular diseases (8) have been well documented. In respect of the prevalence, OSA is high in the general adult population, ranging from 9 to 38% (9), which means that OSA is the one of the biggest public health problems.

As countermeasures, continuous positive airway pressure (CPAP) has been considered the first line treatment for OSA. CPAP improves quality of life (10), reduces motor vehicle accidents (11), decreases the risk of hypertension (12), metabolic syndrome (13) and cardiovascular diseases (14). Despite these highly significant treatment effects, CPAP adherence remains a major obstacle to its effectiveness (15). Although CPAP regular use is defined as at least 4 hours use on 70% of days (16), CPAP adherence remains highly variable (17-20). In the recent large randomized clinical trials (21), CPAP effects on hypertension and cardiovascular events were not significant, but the subgroup analysis of patients with OSA using CPAP for more than 4 hours per night demonstrated the significant treatment effects (22). This result alerted us to keep in mind that higher CPAP adherence is essential for OSA treatment.

In this respect, various factors that could influence CPAP adherence have been studied in the western countries. The most recent comprehensive review by Mehrtash and his colleagues, summarized these factors from four different domains : socio-demographic characteristics, disease severity, psychosocial factors, and side-effects (23). In addition, it has been widely known that there is an optimal pressure of CPAP to stabilize the opening of the upper airway, which differs from one patient to another, so CPAP titration played an important role in increasing CPAP adherence (24). Consequently, American Academy of Sleep Medicine (AASM) established the clinical guideline (25) regulating that the standard way of CPAP titration should be conducted by the full-night CPAP titration (fnPT). The main aim of fnPT is to determine an optimal therapeutic pressure that abolishes respiratory events during sleep of OSA patients by using polysomnography (PSG) and a CPAP device at the same time. The drawback of fnPT is that this procedure requires overnight PSG at a sleep lab attended by well-experienced sleep technologists, resulting in high cost from the viewpoint of time and labor. Therefore, we need a practical alternative way of titration which is feasible in Japan where sleep medicine is still underdeveloped.

The aim of this study is to develop a practical and feasible alternative titration method, named afternoon CPAP titration (aPT).

METHODS*Patients*

This is the retrospective study dealing with consecutive 122 OSA patients who underwent CPAP titration from April 2013 to March 2018 in our sleep laboratory (Figure 1). Our sleep center specialized in all kinds of sleep-related disorders, and it was our daily clinical routine to get demographic data such as gender, age, height, weight, and working status as well as Epworth

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sleepiness scale (ESS) at the first visits of new patients. When it was clinically indicated, attended all-night PSG for diagnosis was routinely performed. All the PSG data were scored according to AASM criteria (26). OSA was defined when apnea hypopnea index (AHI) was five or more on diagnostic PSG.

This study was approved by the Institutional Review Board of Kansai Electric Power Medical Research Institute. The study was conducted in according to the principles of the Declaration of Helsinki.

CPAP education

All the 122 patients received CPAP education lasting about 30 minutes as clinical routine of our sleep lab on the same day of the titration. This educational session was carried out by experienced sleep technologists before starting CPAP titration. The session was composed of explaining reasons for CPAP use, its clinical benefit, mask fitting including patients trialing ones of different styles, training of how to put on and take off a mask, hands-on demonstration of CPAP devices, and acclimatization to CPAP.

CPAP titration

fnPT was carried out by well-experienced sleep technologists using the AASM guidelines (25). As for fnPT, the optimal pressure setting procedure was based on the AASM algorithm (25). We invented aPT that was performed in the early afternoon starting around 2 p.m. and ending before 4 p.m. by using PSG when the patients was encouraged to take a nap. The procedure to find out an optimal pressure was mostly equivalent to fnPT except that fnPT required the occurrence of REM sleep on supine with no respiratory events or arousals. In addition, if the patients did not achieve REM sleep during aPT, the study was ended. We calculated the number of patients who achieved REM sleep during both aPT and fnPT.

Of the 122 patients, 72 patients underwent fnPT and 50 patients received aPT (Figure 1). The total recording time of fnPT and aPT was calculated after titration. Due to retrospective nature of this study, our patients were not randomly allocated to aPT or fnPT.

CPAP prescription

After the titration, sleep specialists reviewed the titration data. As auto-titrating CPAP devices are available to all the patients under the Japanese national medical insurance system, an optimal pressure range (for example, 4-7 cmH₂O) was prescribed except one patient who opted out to fixed-pressure. 117 out of 122 patients

were given either REMStar (Philips Respironics, Murrysville, PA, USA) or DraemStation (Philips Respironics, Murrysville, PA, USA) and used the same machine throughout the study. As this is the retrospective study, these two types CPAP machines were not randomly allocated, however, the algorithm of these two machines from a single manufacturer, Philips Respironics, was basically identical. Five remaining patients who opted out to another CPAP machines from other manufactures than Philips Respironics were excluded at this point (Figure 1).

CPAP follow-up system

Regular CPAP follow-up at the clinic was mandatory according to the Japanese national medical insurance system. Patients were not allowed to purchase CPAP devices directly from the providers in Japan, but the public insurance completely covered the rental fee of CPAP devices on the condition that the patients come to the clinic at least once per three months. On their regular visits, the patients got CPAP adherence data downloaded by sleep technologists in addition to consultation by sleep specialists. Troubleshooting about CPAP devices or interfaces was sorted out by sleep technologists.

During the one year follow-up, the 49 patients were excluded for the following reasons : Patients who were unable to continue to use CPAP for more than one year (fnPT : 9, aPT : 21), patients who moved to another hospitals or sleep clinics (fnPT : 12, aPT : 6). One patient who underwent fnPT was excluded because his first one month data was missing due to technical error. As a results, the subjects for analysis were 46 patients after fnPT and 22 patients after aPT (Figure 1). As for the patients who were not able to continue to use CPAP for more than one year, we calculated CPAP dropout rate within one year.

CPAP efficacy

The CPAP device had capacity to record the nightly amount of the usage and to measure the airflow of users' breathing, enabling us to obtain the residual numbers of apnea and hypopneas. By downloading the data, mean residual AHI was available. As the CPAP device was unable to measure sleep time, the mean residual AHI was calculated by dividing the number of apneas and hypopneas by operating hours, however, this mean residual AHI has been widely used as CPAP efficacy.

CPAP adherence

We collected the data via a memory card at 360 days after the introduction of CPAP. This assessment included percent days with device usage during the 360 days, cumulative device usage

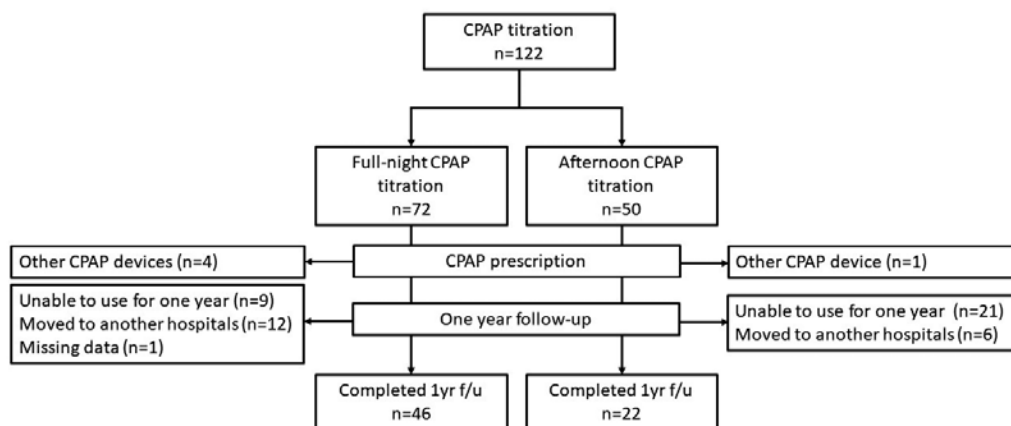


Figure 1. Design of the study.

hours during the 360 days, average device usage hours per day during the 360 days, average device usage hours per day during the days of device used of this period, and percent of days with the device usage hours ≥ 4 hours during the 360 days.

Statistical analysis

Statistical analysis was done using JMP PRO 14.0.0 (SAS Institute Technology, U.S.). All demographic, polysomnographic variables, sleep parameters and CPAP adherence data were described using mean and standard deviation. A chi-square analysis with Fisher's exact test was performed to compare categorical data. The p values < 0.05 were assessed to represent statistical significance.

RESULTS

Demographic and diagnostic polysomnographic data

Demographic characteristics of the two groups did not show significant difference (Table 1). As for CPAP dropout rates, group of aPT (42.0%) was significantly higher than that of fnPT (12.5%). Most of polysomnographic parameters at the diagnosis, such as total sleep time, sleep efficiency, sleep latency, AHI, the number of 3% desaturations per one hour sleep (oxygen desaturation index by 3%, ODI3%), lowest oxygen saturation (lowest SpO₂), and arousal index were not significantly different between two groups (Table 2).

Table 1. Demographic data of our participants.

	fnPT	aPT	p value
Number	46	22	-
M/F	43/3	21/1	1.*
Age (years)	58.3 \pm 12.8	58.9 \pm 12.3	.753
Height (cm)	168.9 \pm 7.0	168.6 \pm 6.1	.613
Weight (kg)	77.1 \pm 14.5	77.7 \pm 17.8	.733
BMI (kg/m ²)	26.9 \pm 4.2	27.1 \pm 4.9	.906
Working status (Full-time/Part-time/Retire/ Homemaker)	(36/1/9/0)	(16/2/3/1)	.220*
ESS	10.1 \pm 5.4	10.4 \pm 4.3	.457

Data presented n or as mean \pm standard deviation. BMI, body mass index; ESS, Epworth sleepiness scale. *Fisher's exact test

Table 2. Diagnostic polysomnographic parameters in the two groups.

	fnPT (n=46)	aPT (n=22)	p value
TST (min)	376.5 \pm 71.5	392.7 \pm 75.2	.362
Sleep efficiency (%)	82.1 \pm 11.3	83.0 \pm 12.0	.684
Sleep latency (min)	22.0 \pm 20.4	16.1 \pm 19.3	.067
AHI	38.7 \pm 15.8	43.3 \pm 18.3	.268
ODI3%	34.6 \pm 16.8	40.0 \pm 18.7	.211
Lowest SpO ₂ (%)	77 \pm 8	73 \pm 13	.325
% time SpO ₂ < 90% (%)	7.4 \pm 9.1	17.3 \pm 18.9	.028#
Arousal index	34.2 \pm 13.1	38.5 \pm 16.7	.274

Data presented as mean \pm standard deviation. TST, total sleep time; AHI, apnea hypopnea index; ODI3%, the number of 3% desaturations per one hour sleep; % time SpO₂ < 90%, percentage of total sleep time with oxygen saturation below 90%. #statistical significance.

There was one parameter that showed significant difference, which was percentage of total sleep time with oxygen saturation below 90% (% time SpO₂ < 90%). This percentage was significantly higher in aPT than in fnPT group (17.3 \pm 18.9 vs 7.4 \pm 9.1, $p < 0.03$).

REM sleep occurrence and time spent on CPAP titration

8 out of 22 patients (36.4%) achieved REM sleep during aPT, while all of the 46 patients (100%) during fnPT ($p < 0.0001$). Mean total recording time was significantly shorter in aPT group than in fnPT group (73.0 \pm 13.9 vs 501.5 \pm 41.1 min, $p < 0.0001$).

CPAP efficacy and adherence

There was no significant difference in mean residual AHI during the 360 days (fnPT vs. aPT, 3.6 \pm 2.5 vs. 3.1 \pm 1.2), percent days with device usage during the 360 days (82.5 \pm 16.7 vs. 85.4 \pm 17.7%), cumulative device usage hours during the 360 days (1621.2 \pm 510.1 vs. 1727.1 \pm 619.3 hours), average device usage hours per day during the 360 days (4.5 \pm 1.4 vs. 4.8 \pm 1.7 hours), average device usage hours per day during the days of device used of this period (5.4 \pm 1.0 vs. 5.5 \pm 1.4 hours), and percent of days with device usage hours ≥ 4 hours (66.0 \pm 21.8 vs. 68.0 \pm 24.4%) between groups during the 360 days after starting CPAP (Table 3).

Table 3. Efficacy and adherence during 360 days after CPAP titration.

	fnPT (n=46)	aPT (n=22)	p value
Mean residual AHI*	3.6 \pm 2.5	3.1 \pm 1.2	.718
Percent days with device usage (%)	82.5 \pm 16.7	85.4 \pm 17.7	.277
Cumulative device usage hours during the 360 days	1621.2 \pm 510.1	1727.1 \pm 619.3	.471
Average device usage hours per day during the 360 days	4.5 \pm 1.4	4.8 \pm 1.7	.471
Average device usage hours per day during the days of device used of this period	5.4 \pm 1.0	5.5 \pm 1.4	.866
Percent of days with usage ≥ 4 hours (%)	66.0 \pm 21.8	68.0 \pm 24.4	.901

Data presented as mean \pm standard deviation. *Mean residual AHI was calculated by dividing the number of apnea hypopnea by operating hours.

DISCUSSION

This study showed that aPT, a new modified method of CPAP titration, was as effective as fnPT in that there was no significant difference in the mean residual AHI (less than five) on CPAP and in adherence (more than 70% days of device usage and more than four hours usage per day) during the 360 days follow-up. In addition, the average hours of recording time of aPT was significantly shorter than that of fnPT. Compared to fnPT, aPT has an advantage in that it took only one and a half hours.

Another advantage of our procedure was chronobiologically appropriate time slot when aPT was performed. In contrast to our method, the previous two studies (27, 28) did the titration in the morning, and the one did not describe the time zone of their titration (29). Regarding the chronobiological change in the vigilance level, Lavie and Segal (30) demonstrated that sleep propensity was second highest in the early afternoon (i.e. 2-4 p.m.) by using ultrashort 7-min sleep/ 13-min wake cycle schedule for

48 hours. In this context, there is a major benefit about aPT since the patients have the titration during the time slot when they were physiologically sleepy.

It has been widely recognized that the mean residual AHI counted by the CPAP device was a good parameter indicating decrease in respiratory events. Therefore we compared the mean residual AHI of the both groups monitored by the CPAP device during 360 day follow-up. The mean residual AHI in two groups was less than five during the 360 days, which means aPT was effective as fnPT. Unfortunately we could not compare this efficacy to the previous studies (27-29), as they were performed before the era when CPAP devices did not have the function of monitoring respiratory events.

It is unarguable that one of the most important aspects of CPAP treatment is to maintain adherence on a long-term basis. In general CPAP use of 4 hours/night on 70% of nights has been accepted as a clinical and empiric benchmark of CPAP adherence (16). In fact, there are two factors that define the CPAP adherence: one is frequency of CPAP use and the other is the duration of follow-up. When evaluating the CPAP adherence in respect of these two aspects, our patients initiating CPAP treatment after aPT fulfilled the adherence requirement as good as the patients who underwent fnPT. Both of the groups showed over 80% days with device usage and averagely 4.5 hours usage per day (360 days), and averagely 5.4 hours usage per day (days used) during the 360 days after starting CPAP.

There are several limitations of this study. Firstly, patients were not randomly assigned to aPT or fnPT group due to the retrospective nature. Secondary, in the aPT, 8 out of 22 patients (36.4%) achieved REM sleep while all of the 46 patients in the fnPT group achieved REM sleep. As AASM criteria recommends that an optimal titration should include supine REM sleep (25), the aPT could not be completely comparable to the fnPT. This might partially explain the higher dropout rates of the aPT group. Thirdly, it still remains unknown how to choose the appropriate patients who are most likely to be adherent to CPAP treatment after aPT. Thus, further prospective randomly allocated studies would be needed.

In conclusion, aPT has an advantage in that it took only one and half hours to be completed at the time zone when people are physiologically sleepy. Most importantly, the optimal pressure setting determined by aPT was as effective as that by fnPT and there was no difference in long-term adherence between the two groups. Thus, aPT could be a practical alternative way of titration with low cost and labor.

ETHICS APPROVAL

This study was approved by the Institutional Review Board of Kansai Electric Power Medical Research Institute. The study was conducted in according to the principles of the Declaration of Helsinki.

CONFLICTS OF INTEREST/FUNDING

Both authors do not have any potential conflicts of interest to disclose, and do not receive any financial support.

AUTHOR'S CONTRIBUTIONS

Keisuke Kido and Naoko Tachibana contributed to the study conception, study design, and data acquisition. Keisuke Kido primarily analyzed the data and did the statistical analyses.

The first draft of the manuscript was written by Keisuke Kido. Naoko Tachibana revised the manuscript for important intellectual content. Both authors have seen and approved the final manuscript.

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