Osteochondritis Dissecans of the Capitellum: Review of the Literature

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Abstract: Osteochondritis dissecans (OCD) of the capitellum is a leading cause of elbow disability in adolescent baseball players. Previous studies have not found an association of player position with capitellar OCD. Elbow pain and a longer playing history might be related to progression of capitellar OCD but do not in themselves increase the risk of development of the condition. The cause of capitellar OCD is likely to include a combination of repetitive microtrauma and internal factors, such as ischemia and genetic predisposition. A combination of radiography, computed tomography, magnetic resonance imaging, and ultrasonography have aided our understanding of the pathology of capitellar OCD. Screening using ultrasonography enables early detection and provides an opportunity for successful conservative treatment. Treatment has conventionally included both operative and nonoperative measures based on the stage and size of the lesion, skeletal maturity, subjective symptoms, and structural integrity of the cartilage. Early-stage lesions respond better to nonoperative treatment than those in more advanced stages. Operative indications include persistent symptoms despite nonoperative treatment, symptomatic loose bodies, and displacement or detachment of fragments. J. Med. Invest. 67: 217-221, August, 2020

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INTRODUCTION

Osteochondritis dissecans (OCD) of the capitellum is a leading cause of elbow disability in adolescent athletes and generally affects those, such as baseball players and gymnasts, who engage in repetitive overhead or weight-bearing activities involving the upper extremities. Capitellar OCD is relatively uncommon but can be responsible for prolonged absence from sports activity if advanced (1, 2). In this review, we address the epidemiology, etiology, imaging, and treatment of capitellar OCD.

EPIDEMIOLOGY

In 1933, Nielsen reported that capitellar OCD had a prevalence of over 4% in 1000 workers aged 15 years or older (3). However, his research has since been criticized for selection bias in that 10% of the participants in his study were patients with multiple other diseases and 90% were friends or family members visiting patients in the hospital.

There has been little research on the epidemiology of capitellar OCD in young baseball players, despite a substantial number of patients with this condition being known to play baseball. Some studies have investigated the epidemiology of capitellar OCD radiographically. In 1976, Gugenheim et al. screened 549 Little League pitchers aged 9–13 years and did not identify any cases of capitellar OCD (4). Similarly, Hang et al. investigated 343 Little League pitchers, catchers, and fielders aged 9–12 years and found capitellar OCD in only 1 player (0.3%) (5).

Harada et al. reported that ultrasonography was useful and reliable (as confirmed against radiographic findings) for detecting elbow injuries (6). They performed ultrasonography in 153 baseball players aged 9–12 years in the field during practice and found 2 cases of capitellar OCD (1.3%) (6). Two cross-sectional studies that included a number of baseball players investigated the prevalence of capitellar OCD using ultrasonography. One of these studies was performed by our research group and included 1,040 baseball players aged 10–12 years; 2.1% of the players were found to have capitellar OCD and 90.9% of affected players had stage I lesions (7). In the other study, Kida et al. found that 3.4% of 2,433 baseball players aged 12–18 years had capitellar OCD and that 14.7% of affected players had stage I lesions, 38.2% had stage II lesions, and 13.2% had stage III lesions (8). These findings suggest that the risk of developing capitellar OCD is highest in players aged 10–12 years.

Previous studies of the prevalence of capitellar OCD in youth baseball players have used a cross-sectional design that did not allow for determination of the causes of any observed associations (4–8). Therefore, we followed a group of preadolescent baseball players prospectively to identify the risk factors for capitellar OCD and found the 1-year cumulative incidence to be 1.8% (9). Players aged 10–11 years were at significantly higher risk of capitellar OCD than their counterparts aged 6–9 years. Taking up baseball earlier in life, more years of play, and more training hours increases the amount of repetitive valgus stress on the humeral capitellum and may be risk factors for OCD at this site. However, none of these factors showed a significant association with capitellar OCD in our study (9). Kida et al. found that baseball players with capitellar OCD started playing baseball at an earlier age and had played for longer periods (8). They also found that capitellar OCD was associated with elbow pain (8); however, this association was not found in our study (9). Furthermore, the study by Kida et al. included a relatively low percentage of subjects with stage I capitellar OCD and a higher percentage of subjects with advanced capitellar OCD, as mentioned earlier. These findings suggest that elbow pain and a longer playing history are associated with progression of capitellar OCD but do not in themselves increase the risk of development of the condition. The number of throwing opportunities that baseball players
have depends on the player position. Pitchers and catchers perform the most throws, and playing in these positions is reported to be a risk factor for elbow injury (10, 11). However, previous studies did not find an association of player position with capitellar OCD (7-9) (Table 1).

### ETIOLOGY

Given the above-mentioned findings, the cause of capitellar OCD is likely to include a combination of repetitive microtrauma (12) and internal factors such as ischemia (13) and genetic predisposition (14-16).

Repetitive throwing is considered one of the main causes of capitellar OCD. During the acceleration phase of throwing, the elbow joint may be stressed into a valgus position and the capitellum may be subjected to compression and shear forces. Momma et al. measured the distribution of subchondral bone density through the distal articular surface of the humerus using a computed tomography (CT) osteoabsorptiometry method (12). They demonstrated a significant distribution of the area of maximum density in the anterior part of the capitellum in pitchers. Differences in the biomechanical properties of the radial head and capitellum are also believed to contribute to microtrauma, with the stiffer radial head articulating with the softer lateral capitellar surface and causing increased strain (17).

Haraldsson, in what we believe to have been the first description of the blood supply to the capitellum, noted that the blood supply was predominantly from the arteries entering posteriorly and passing through the compressible epiphyseal cartilage with no connection to adjacent metaphyseal vessels (13). This tenuous blood supply would be at risk in the presence of repetitive injury to the elbow.

Genetic factors are also thought to play a role in the pathogenesis of capitellar OCD but relevant data are limited. There have been a few reports of capitellar OCD affecting multiple family members, sometimes over several generations (14-16). However, despite some case reports describing familial OCD, no definitive data establishing a hereditary connection have been reported and no specific gene associated with capitellar OCD has been identified to our knowledge.

### IMAGING

The age at diagnosis ranges from the teens to the 20s and the appearance of the capitellar lesion varies according to stage. Careful evaluation is important when choosing the treatment most appropriate for the stage of capitellar OCD. A combination of radiography, CT, magnetic resonance imaging (MRI), and ultrasonography might facilitate accurate interpretation of the pathology of capitellar OCD.

Imaging evaluation of a patient with capitellar OCD begins with conventional radiography. Several authors have noted that a routine elbow series consisting of anteroposterior and lateral views has low sensitivity for identification of capitellar OCD (18-20). Addition of an anteroposterior view with the elbow in 45° of flexion improves the ability of a radiographic examination to detect capitellar OCD (1, 2) (Figure 1). Capitellar OCD is classified into three stages according to the findings on the anteroposterior view with the elbow in 45° of flexion (1, 2). Stage I is characterized by radiolucent areas, stage II by nondisplaced fragments, and stage III by loose bodies and sclerotic change (1, 2).

MRI can detect early capitellar OCD when radiography is normal or demonstrates only subtle changes (9, 18, 21). Early MRI findings include lesions of uniform low-signal intensity in the superficial capitellum on T1-weighted images, with the T2-weighted images demonstrating no changes or abnormalities (18). Patients with early-stage capitellar OCD were found to show high signal intensity in the subchondral bone of the capitellum on proton-density fat-saturated images (9) (Figure 2). Kijowski et al. reported that lesions surrounded by a rim of high signal intensity or a fluid-filled cyst on T2-weighted images were unstable (21). MRI can also reveal cartilage lesions that are indistinct on radiographic images.

### Table 1. Comparison of studies of factors associated with capitellar OCD

<table>
<thead>
<tr>
<th>Study design</th>
<th>Matsuura et al. (9)</th>
<th>Kida et al. (8)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, years</td>
<td>6–11</td>
<td>12–18</td>
</tr>
<tr>
<td>Stage</td>
<td>Early</td>
<td>Advanced</td>
</tr>
<tr>
<td>Elbow pain</td>
<td>Not associated</td>
<td>Associated</td>
</tr>
<tr>
<td>Age at starting baseball</td>
<td>Not associated</td>
<td>Associated</td>
</tr>
<tr>
<td>Years of play</td>
<td>Not associated</td>
<td>Associated</td>
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</table>
CT scans are not used as often as MRI for detection of capitellar OCD lesions. However, CT can accurately identify and localize a lesion at the same time as defining its extent (1,22,23) (Figure 3). Furthermore, CT has the advantages of wider availability and a shorter acquisition time than MRI (24). A CT scan of the elbow seems to be the best imaging technique for confirming a diagnosis of capitellar OCD. An OCD lesion of the capitellum is not always visible on radiography and loose bodies are often missed on standard radiographs and MRI scans (25). Furthermore, CT allows confirmation of the intra-articular position of loose bodies (22).

Ultrasonography is recommended as an additional imaging modality in the evaluation of capitellar OCD. Ultrasonography is an inexpensive and portable diagnostic imaging modality that does not involve exposure to radiation and has been used successfully to assess elbow injuries (6-9, 18, 26-28). Recent improvements in the spatial resolution of ultrasonography have enabled acquisition of more detailed cross-sectional images of capitellar OCD. Yoshizuka et al. evaluated the correlation between ultrasonographic findings and surgical findings in patients with capitellar OCD and compared the diagnostic accuracy of ultrasonography with that of MRI (27). The accuracy of the ultrasonographic diagnoses was superior to that of the MRI diagnoses. Although early detection of capitellar OCD is desirable, it is difficult to detect early-stage capitellar OCD because most patients are asymptomatic or minimally symptomatic. However, screening for OCD using ultrasonography enables early detection and provides an opportunity for successful conservative treatment (6-9, 18, 26, 28) (Figure 4). However, it is vital to be aware that ultrasonographic examination may not be able to distinguish between OCD and minor injury or normal development during ossification. Therefore, OCD should be confirmed on additional radiographic examination.

TREATMENT

Treatment has conventionally included both operative and nonoperative measures based on the stage and size of the lesion, skeletal maturity, subjective symptoms, and structural integrity of the cartilage. Capitellar OCD responds better to nonoperative treatment when it is in the early stages. Indications for surgery include persistent symptoms despite nonoperative treatment, symptomatic loose bodies, and displacement or detachment of a fragment.

Nonoperative treatment

In 1972, Tullos et al. reported 4 cases of capitellar OCD in pitchers (29). Three of these patients were treated successfully with rest and 1 required surgical excision. Torg recommended initial immobilization and rest until the acute symptoms subside, with excision considered only for loose bodies (30). DeHaven echoed these recommendations after reviewing 10 elbow injuries from a database of sports injuries in 548 patients aged up to 15 years (31). However, Takahara et al. found that only 6 (55%) of 11 early lesions healed or improved radiographically after nonoperative treatment (32). They concluded that capitellar OCD had only a slight tendency to heal. In contrast, we reported that conservative treatment, including avoiding heavy use of the elbow, promoted healing in 90.5% of patients with stage I lesions and in 52.9% of those with stage II lesions (1), which suggests that capitellar OCD can be successfully treated conservatively if treatment is started early.

Operative treatment

There is still debate about the best practice for capitellar OCD lesions requiring operative intervention. Several surgical methods have been reported, including arthroscopic removal of loose bodies with or without drilling or microfracture (33-37),
arthroscopic or open fragment fixation (38-40), bone peg fixation (41, 42), closed-wedge osteotomy (43, 44), and osteochondral transplantation (45-47). Arthroscopic removal of loose bodies or osteochondral transplantation is indicated in most cases.

Arthroscopic removal of loose bodies with or without drilling or microfracture may be indicated in patients who have a small lesion. This technique has become increasingly popular because it provides a minimally invasive alternative when surgical management is indicated (Figure 5). Arthroscopic removal of loose bodies with or without drilling or microfracture has been shown to have good to excellent functional results in the short to medium term, although the studies were often retrospective in nature and included patients with lesions of variable severity (33-36, 48). Several studies have reported return to sports following arthroscopic debridement for capitellar OCD (33, 34). The prognosis varies from report to report because the studies have included patients of various ages at the time of surgery and a wide range of sporting activities. Osteochondral transplantation may be indicated as a primary procedure in patients with large lesions or may be used as a salvage procedure if primary surgical treatment (debridement and marrow stimulation) has failed in athletes who desire to return to their preinjury or higher level of activity (45-50).

FUTURE DIRECTIONS

The precise etiology and natural history of capitellar OCD remain poorly understood. Moreover, optimal treatment has yet to be determined. Further research is needed to assess the exact influence of lesion size on the prognosis and treatment of capitellar OCD. A better understanding of these factors may assist in surgical decision-making and preoperative planning.

DISCLOSURE OF CONFLICTS OF INTEREST

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