

## ORIGINAL

# Effects of Transplanted Human Cord Blood-Mononuclear Cells on Pulmonary Hypertension in Immunodeficient Mice and Their Distribution

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**Abstract : Objectives :** To investigate the effects of human umbilical cord blood-derived mononuclear cell (hUCB-MNC) transplantation on pulmonary hypertension (PH) induced by monocrotaline (MCT) in immunodeficient mice and their distribution. **Methods :** MCT was administered to BALB/c Slc-nu/nu mice, and PH was induced in mice 4 weeks later. Fresh hUCB-MNCs harvested from a human donor after her delivery were injected intravenously into those PH mice. The medial thickness of pulmonary arterioles, ratio of right ventricular to septum plus left ventricular weight (RV/S+LV), and ratio of acceleration time to ejection time of pulmonary blood flow waveform (AT/ET) were determined 4 weeks after hUCB-MNC transplantation. To reveal the incorporation into the lung, CMTMR-labeled hUCB-MNCs were observed in the lung by fluorescent microscopy. DiR-labeled hUCB-MNCs were detected in the lung and other organs by bioluminescence images. **Results :** Medial thickness, RV/S+LV and AT/ET were significantly improved 4 weeks after hUCB-MNC transplantation compared with those in mice without hUCB-MNC transplantation. CMTMR-positive hUCB-MNCs were observed in the lung 3 hours after transplantation. Bioluminescence signals were detected more strongly in the lung than in other organs for 24 hours after transplantation. **Conclusions :** The results indicate that hUCB-MNCs are incorporated into the lung early after hUCB-MNC transplantation and improve MCT-induced PH. *J. Med. Invest.* 64 : 43-49, February, 2017

**Keywords :** pulmonary hypertension, cellular therapy, umbilical cord blood

## INTRODUCTION

There are many patients who are suffer from pulmonary artery hypertension (PAH) due to pulmonary vascular obstructive disease or limited pulmonary vasculature with or without congenital heart defects. Although there has been significant progress in the development of medication for PAH over the past two decades, most patients with severe PAH have shown little favorable response to any medication and their prognosis has been very poor.

Results of recent studies using animal models with hypoxia- or monocrotaline (MCT)-induced pulmonary hypertension (PH) and results of a human pilot study have shown that cellular therapy using endothelial progenitor cells harvested from large quantities of peripheral blood or bone marrow (BM) blood contributed to pulmonary vascular remodeling, thus providing some useful clues for PAH treatment (1-4). Also, our previous study showed that syngeneic BM mononuclear cells improved MCT-induced PH in mice model (5). However, harvesting these cells from large quantities of peripheral blood or BM blood is very invasive and dangerous for patients with severe PAH, especially for children.

In a recent study, it was shown that a single low dose ( $10^6$  cells) of human umbilical cord blood-derived mononuclear cells (hUCB-MNCs) administered intravenously to G93A mice with amyotrophic lateral sclerosis delayed symptom progression and modestly

prolonged lifespan (6). It has been shown that human umbilical cord blood contains abundant with hematopoietic stem cells, mesenchymal stem cells, and endothelial progenitor cells that are able to differentiate into nerve cells and endothelial cells (7-10).

Therefore, we hypothesized that hUCB-MNC transplantation may provide a new therapeutic potential for patients with severe PAH or limited pulmonary vasculature. The aims of this study were to investigate whether hUCB-MNCs themselves rather than hUCB-plasma improve PH in MCT-treated nude mice and to reveal the locations of hUCB-MNCs in the lung.

## METHODS

### *Animal Preparation*

BALB/c Slc-nu/nu female immunodeficient mice (Japan SLC, Tokyo, Japan) were used at 8 weeks of age. We anesthetized the mice with ketamine (100 mg/kg) and xylazine (10 mg/kg). Animal care and procedures were in accordance with the institutional guidelines. This study was approved by a university ethics review board (#912), and the animal procedures were conformed to the NIH guidelines (Guide for the care and use of laboratory animals).

### *Pulmonary Hypertension Models*

Mice received monocrotaline (MCT) ( $C_{16}H_{26}NO_6$ ; Sigma-Aldrich, Lyon, France) treatment. MCT treatment was previously demonstrated to be capable of inducing PH in mice (5, 11).

MCT was suspended in 0.1 N HCl, which was adjusted to pH 7.0 with NaOH and diluted in phosphate-buffered saline (PBS). A single injection of MCT (80 mg/kg body weight) into the peritoneum

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was performed. That dosage did not cause toxic effects to the liver. The completion rate of PH lesion of 90% to 100% and survival rate of PH model mice was 100% 4 weeks after MCT injection.

#### *Umbilical Cord Blood Mononuclear Cell Isolation*

Fresh human umbilical cord blood was obtained from human donors with agreement for the harvest shortly after their delivery of healthy children after more than 38 weeks of gestation. The part of this study was performed conform the declaration of Helsinki and was approved by a university ethics review board (#912). HUCB-MNCs were isolated and enriched using density centrifugation (Ficoll-Paque Plus ; Invitrogen Australia Pty Ltd, Mulgrave, Australia). The number of injected hUCB-MNCs was based on results of previous studies using unfractionated BM cells in a mouse or rat PH model ( $5 \times 10^6$  to  $1 \times 10^8$  cells) (5, 12). HUCB contained 1.02% of CD34+, CD45+ and CD133+ progenitor cells, which were suspended in PBS and adjusted to  $1 \times 10^7$ -MNCs/0.25ml with a concentration of 2.57% of CD34+, CD45+ and CD133+ progenitor cells. The hUCB-MNC suspension fluid was delivered into MCT-treated PH mice through the orbital vein by using 27G needle.

#### *Study Protocol*

Vehicle, hUCB-MNCs or hUCB- plasma were injected to PH mice after MCT-treatment. The mice were divided into four groups : 1) vehicle-injected mice (control ; n=5) ; 2) MCT-treated mice (PH model ; n=5) ; 3) MCT-treated mice 4 weeks after hUCB-MNC transplantation (CB-cell ; n=5) ; and 4) MCT-treated mice 4 weeks after hUCB-plasma injection (CB-plasma ; n=5) (Fig. 1). All mice were sacrificed 4 weeks after vehicle, hUCB-MNCs or hUCB-plasma injection, and heart and lung specimens were harvested.

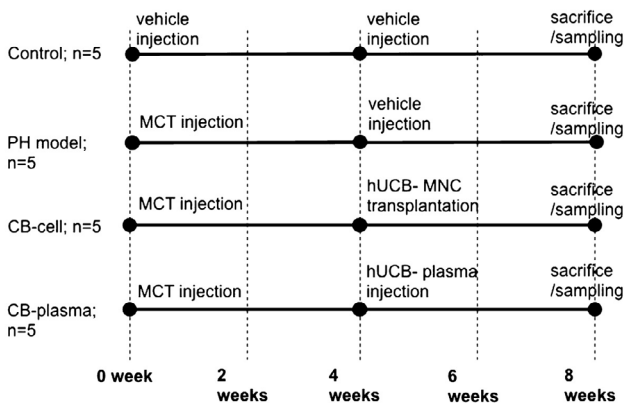


Fig. 1 Study protocol. PH, pulmonary hypertension ; CB, cord blood ; MCT, monocrotaline ; hUCB-MNCs, human umbilical cord blood-derived mononuclear cells ; n, number of mice.

#### *Histologic Evaluation*

The lungs were perfused through the heart with PBS solution and fixed with 4% paraformaldehyde in PBS solution. Four-micrometer-thick lung sections were cut and stained with elastica van Gieson after fixation and paraffin embedding. In each mouse, all intraacinar vessels accompanying the alveolar ducts or alveoli were examined. Medial thickness of the small pulmonary arteriole (50 to 100  $\mu$ m in diameter) was measured under a light microscope ( $\times 40$  magnification), and percent medial thickness was calculated as

medial thickness divided by diameter of the pulmonary artery  $\times 100$ .

#### *Assessment of Pulmonary Hypertension*

After the heart had been removed, the right ventricle (RV) was dissected from the left ventricle+septum (LV+S), and the dissected samples were weighed. The ratio of RV/S+LV weight was determined by a previously described method for estimation of RV hypertension caused by PH (5, 13).

#### *Assessment of Pulmonary Hypertension by Using an Echocardiogram*

Transthoracic closed-chest echocardiography was performed in blinded fashion using a mechanical transducer centered on 12 MHz (PLT-1202S, Toshiba, Toyo, Japan). Two-dimensional images of the pulmonary infundibulum were obtained from the parasternal short axis view at the level of the aortic valve, and pulsed-wave Doppler recording of pulmonary blood flow was obtained. By analyzing the waveform, the following variables were measured : pulmonary acceleration time (AT) and right ventricular ejection time (ET). As an index of PAH, we calculated AT/ET as previously described (14).

#### *Assessment of Incorporation of Transplanted Umbilical Cord Blood-derived Mononuclear cells Into the Lung and Other Organs*

Two methods were used to determine the incorporation of the transplanted hUCB-MNCs in the lungs of MCT-treated mice early after delivery.

Firstly, hUCB-MNCs were labeled with the vital fluorescent dye chloromethyl tetramethylrhodamine (CMTMR ; Molecular Probes Inc., Eugene, OR) and injected into the orbital veins of MCT-treated mice ( $1 \times 10^7$  cells). The mice were sacrificed separately at 10 minutes, 30 minutes, 1 hour, and 3 hours after labeled hUCB-MNC delivery (n=5/group). Lungs were fresh frozen in OCT compound (Sakura, Torrance, CA), and transverse sections (20  $\mu$ m) were taken. CMTMR-labeled hUCB-MNCs were detected by fluorescent microscopy as described previously (15).

Secondly, to reveal the homing of injected hUCB-MNCs to the lungs and elucidate the distribution to other organs, additional MCT-treated mice were analyzed with IVIS<sup>®</sup> Spectrum (SPI, Tokyo, Japan). HUCB-MNCs ( $1 \times 10^7$ ) were labeled with XenLight DiR (SPI, Tokyo, Japan) and delivered into MCT-treated PH mice through the orbital vein. MCT-treated mice injected with a vehicle were used as controls. The mice were sacrificed, and bioluminescence signals of organs including the lung, heart, liver, spleen and intestine were recorded at 10 minutes, 30 minutes, 1 hour, 3 hours, 6 hours and 24 hours after labeled hUCB-MNC delivery. Bioluminescence records were layered onto a visual photographic image to create composite bioluminescence-photographic images. The fluorescent signals of each organ were analyzed quantitatively by region of interest (ROI) using IVIS<sup>®</sup> spectrum software (16, 17).

#### *Statistical Analysis*

Data are presented as means  $\pm$  standard deviation. Statistical comparisons were performed using unpaired two-tailed Student's t tests or analysis of variance with Scheffe's test as appropriate, with a probability value of less than 0.05 taken to indicate significance.

## RESULTS

No mice were dead in the acute phase after hUCB-MNC transplantation and no symptom of thrombotic microangiopathy, which is known as a possible complication of bone marrow-MNC transplantation, was observed.

**Histologic Evaluation**

The medial walls of the distal small pulmonary arteries were thickened significantly in PH mice, and remarkable fibrosis of the adventitia was observed in the PH mice compared with findings in control mice (Fig. 2). However, these findings were improved significantly 4 weeks after hUCB-MNC transplantation. On the other hand, no improvement was observed in the mice that received hUCB-plasma injection without hUCB-cell injection. The number of small pulmonary arteries decreased in the PH model (Fig. 2f), compared with that of the control mice (Fig. 2e), and recovered up to the same level as that in the control mice after hUCB-MNC transplantation (Fig. 2g), but not recovered after hUCB-plasma injection without hUCB-cell injection (Fig. 2h).

**Improvement of Pulmonary Hypertension After Human Umbilical Cord Blood-Mononuclear Cell Transplantation**

Medial thickness was significantly increased in MCT-treated mice, being consistent with PH, compared with that in control mice ( $15.8\% \pm 4.3\%$  versus  $8.4\% \pm 3.5\%$ ;  $p < 0.01$ ; Fig. 3). However, medial thickness was decreased significantly 4 weeks after hUCB-MNC transplantation compared with that in PH mice ( $11.5\% \pm 4.7\%$  versus  $15.8\% \pm 4.3\%$ ;  $p < 0.01$ ). This improvement was not seen in mice that received hUCB-plasma injection without hUCB-cell injection ( $15.1\% \pm 5.4\%$ ).

The RV/S+LV ratio was also increased in MCT mice compared with that in control mice ( $0.35 \pm 0.06$  versus  $0.29 \pm 0.08$ ;  $p = 0.23$ ). On the other hand, the RV/S+LV ratio was reduced significantly 4 weeks after hUCB-MNC transplantation compared with that in PH control mice ( $0.27 \pm 0.04$  versus  $0.35 \pm 0.06$ ;  $p < 0.05$ ) (Fig. 3). No improvement was observed in mice that received hUCB-plasma injection without hUCB-cell injection ( $0.31 \pm 0.02$ ;  $p = 0.29$ ).

The AT/ET ratio was decreased significantly in PH mice 4 weeks after MCT injection ( $0.36 \pm 0.06$  versus  $0.26 \pm 0.02$ ;  $p < 0.01$ ) and remained at a similar level 8 weeks after MCT injection ( $0.24 \pm 0.02$ ) (Fig. 3, 4). However, the AT/ET ratio was increased significantly 4 weeks after hUCB-MNC injection ( $0.26 \pm 0.02$  versus  $0.35 \pm 0.01$ ;  $p < 0.01$ ). On the other hand, the ratio in mice that

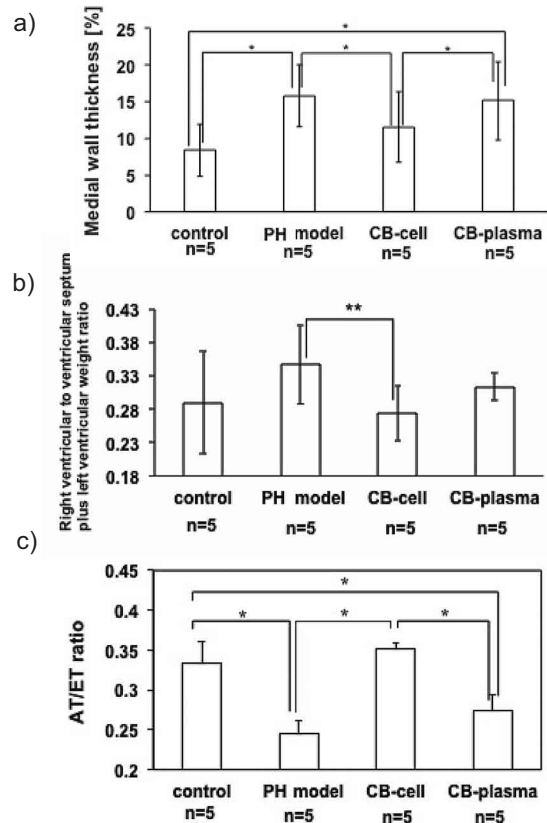


Fig. 3 a) Changes in the percentage of medial wall thickness. b) Changes in RV/S+LV weight ratio. c) Changes in AT/ET ratio. PH, pulmonary hypertension; CB, cord blood; n, number of mice; RV/S+LV weight ratio, the right ventricular to ventricular septum plus left ventricular weight ratio; AT/ET, pulmonary acceleration time to right ventricular ejection time ratio. (\* $P < 0.01$ ; \*\* $P < 0.05$ )

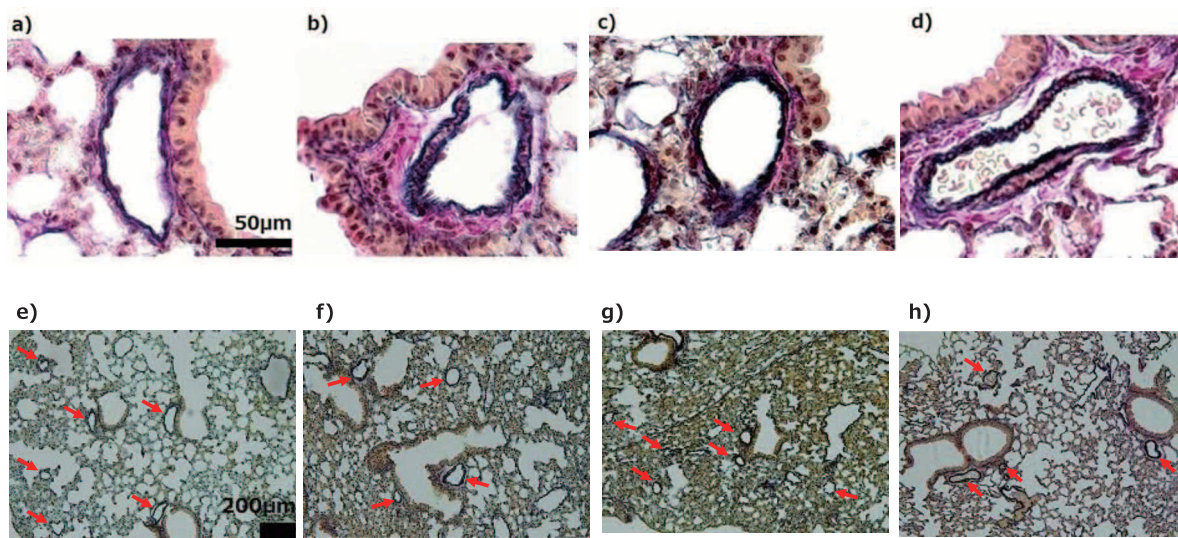
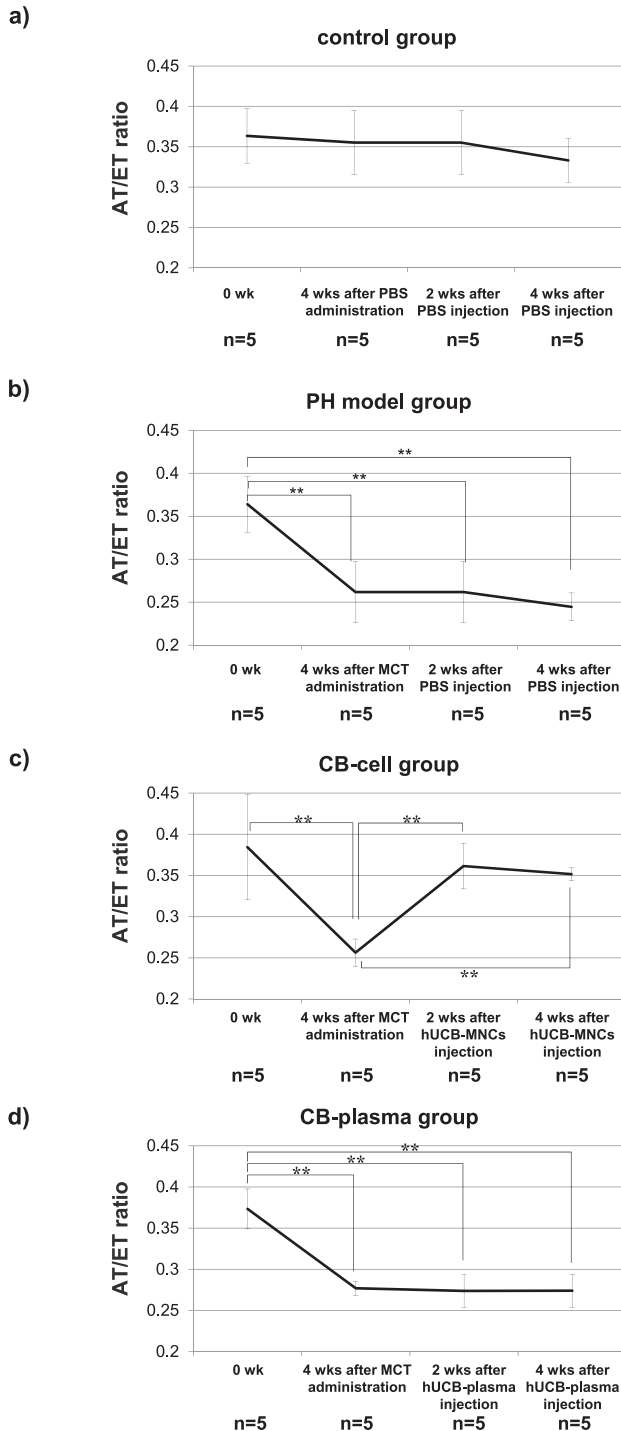


Fig. 2 Histological findings related to pulmonary artery remodeling. a, e) Control group (n=5), b, f) Monocrotaline-treated PH model group (n=5). The medial wall of a distal small pulmonary artery was significantly thickened and remarkable fibrosis of the adventitia was observed. c, g) CB-cell group (n=5). HUCB-MNC transplantation improved medial thickness and fibrosis to levels of those in the control group. d, h) CB-plasma group (n=5). Medial wall thickness and adventitial fibrosis were still as remarkable as those in the PH model group. Lung sections were stained by the elastic van Gieson method (a-d; x400, e-h; x100). Red arrows show small pulmonary arteries. Black bar signifies 50 µm and 200 µm respectively. PH, pulmonary hypertension; CB, cord blood; hUCB-MNC, human umbilical cord blood-derived mononuclear cell; n, number of mice.



**Fig. 4** Changes in the AT/ET ratio calculated from pulmonary blood flow waveform.

a) Vehicle-injected mice (control ; n=5) ; b) MCT-treated mice (PH model ; n=5) ; c) MCT-treated mice 4 weeks after hUCB-MNC transplantation (CB-cell ; n=5) ; and d) MCT-treated mice 4 weeks after hUCB-plasma injection (CB-plasma ; n=5) (\*\*p< 0.01). AT/ET, pulmonary acceleration time to right ventricular ejection time ratio ; PH, pulmonary hypertension ; MCT, monocrotaline ; hUCB-MNCs, human umbilical cord blood-derived mononuclear cells ; CB, cord blood ; n, number of mice.

received hUCB-plasma injection without hUCB-cell injection was similar to that in PH mice. The AT/ET ratio was significantly lower in PH and CB-plasma mice than in the control mice. On the other hand, the AT/ET ratio was significantly higher in CB-cell mice than in PH mice.

#### *Detection of Donor Human Umbilical Cord Blood-derived Mononuclear Cells in the Recipient Lung and Other Organs*

CMTMR-positive hUCB-MNCs were observed near small pulmonary arterioles and capillaries of the lung from 10 minutes to 3 hours after transplantation (Fig. 5). The number of fluorescently labeled cells in the lung reached a peak at one hour after transplantation and then gradually decreased with the lapse of time.

Intrapulmonary trapping of DiR-labeled hUCB-MNCs was confirmed by IVIS® imaging between 10 minutes and 24 hours after injection (Fig. 6). Until one hour after injection, bioluminescence signals were clearly visible in both lungs and slightly visible in the liver and spleen. After that, the signals in the lungs decreased gradually with passage of time and most of the transplanted hUCB-MNCs were trapped in the liver and spleen. No signal was detected in the heart and intestine. However, the values of fluorescence in the lungs of MCT-treated mice with hUCB-MNC injection were significantly higher at the time of each observation than those of MCT-treated mice with vehicle injection. The values of fluorescence decreased from 10 minutes to 3 hours after hUCB-MNC injection and then remained stable at high levels in the lungs.

## DISCUSSION

In the present study, we observed remarkable improvements in the medial thickness of small pulmonary arteries, RV/S+LV weight ratio and AT/T of pulmonary blood flow after intravenous hUCB-MNC transplantation in a nude mouse model with MCT-induced PAH.

These results demonstrated firstly that hUCB-MNC transplantation alleviated PH in MCT-induced mice that depended on the presence of intravenously transplanted hUCB-MNCs and secondly that some transplanted hUCB-MNCs were incorporated into the lung tissue around small pulmonary arterioles three hours after transplantation with consequent residence of those cells being significantly observed within 24 hours after transplantation. Bi-modal imaging by CMTMR and IVIS® spectrum revealed limited graft survival and intrapulmonary cell trapping.

With regard to detection of donor BM-derived MNCs in the recipient lung, both affirmative and negative findings have been reported. Zhao and colleagues showed in a study using a rat model in 2005 that transplanted BM-derived cells survived for at least seven days after delivery and were incorporated and engrafted in the lung tissue and thereby contributed to repair of the pulmonary microvascular structure (18). Although we were previously unable to detect transplanted BM-MNCs labeled with the fluorescent dye carbocyanine in lung tissue at 3 hours, 24 hours, and 1 week after BM-MNC transplantation despite improvement of PH in a MCT-induced mouse model, the discrepancy regarding detection in the two studies may be due to the difference in precision of previous and current imaging modalities (5).

Umbilical cord blood is a readily available source of heterogeneous stem cells that contains both hematopoietic and nonhematopoietic tissue precursors : mesenchymal stem cells and endothelial progenitor cells. Ex vivo work showed the ability of such cells to proliferate in culture and differentiate into a variety of cell types, and homing experiments have demonstrated long-term engraftment of hematopoietic stem cells in xenogeneic ovine and murine models. Transplantation of human cord blood stem cells into an immunosuppressed large animal model, in which long-term

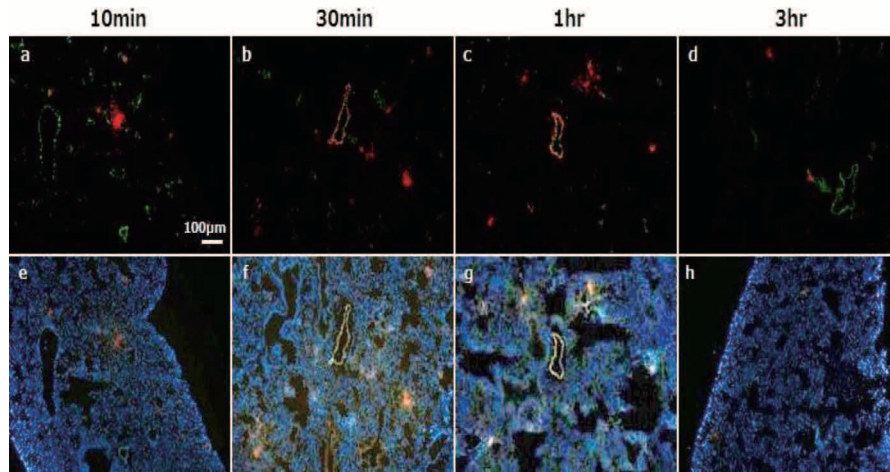


Fig. 5 Assessment of the incorporation of transplanted umbilical cord blood-derived mononuclear cells into the lung. Panels a-d show low magnification fluorescent micrographs of lungs explanted at 10 min (n=5), 30 min (n=5), 1 hr (n=5) and 3 hr (n=5) after delivery of CMTMR-labeled cells, respectively (x100). Red fluorescence represents CMTMR-labeled hUCB-MNCs and green fluorescence indicates vWF-positive vascular endothelium. White bar signifies 100  $\mu$ m. Panels e-h show low magnification fluorescent micrographs by DAPI staining of lungs. CMTMR, chloromethyl tetramethylrhodamine; hUCB-MNCs, human umbilical cord blood-derived mononuclear cells; vWF, von Willebrand factor; n, number of mice.

multi-lineage human chimerism has been shown, allows the detection of engraftment (19).

The effectiveness of some cellular therapies, particularly intravenous transplantation of MNCs including endothelial progenitor cells, for PH has been investigated in detail, and a basic understanding of the mechanisms has changed from so-called vasculogenesis originating from endothelial progenitor cells to a paracrine effect by transplanted MNCs (1-5, 11, 20). Initially, a general mechanism of those therapeutic effects were thought to be via serial steps of incorporation of transplanted or circulating endothelial progenitor cells into the injured lung tissue, differentiation and proliferation for new blood vessel formation (21-23). However, different possible mechanisms relevant to cell transplantation therapies have recently been proposed. O'Neill and associates suggested that BM-derived cells enhance the angiogenic response through paracrine release of growth factors to hypoxia without transdifferentiation into endothelial cells (24-26). It was recently reported that CD34+ exosomes might represent a significant component of the paracrine effect of progenitor cell transplantation for therapeutic angiogenesis (27).

Taking into account those new knowledge, transplanted hUCB-MNCs do not pass through the lungs but stay in the lung tissue for at least 24 hours after transplantation and that an appropriate dose of hUCB-MNCs may provide improvement of PH through active involvement of these cells and modulating the host paracrine effect as an inflammatory response.

#### Study Limitations

Firstly, a xenogeneic transplantation rodent model was used in this study. If possible, additional experiments using large animals such as pigs or dogs should be carried out to obtain reliable information relevant to the clinical situation for PAH.

Secondly, we measured neither the actual right ventricle pressure nor pulmonary artery pressure directly in each mouse because of technical problems. We only confirmed indirectly the improvement of PH through the ratio of weight of myocardium, echocardiographic findings, and histological findings. Actual measurements of pulmonary artery pressure should be used for demonstrating MCT-induced PH and its improvement by hUCB-MNC transplantation.

Finally, the precise working mechanism of hUCB-MNC transplantation for pulmonary artery remodeling remains to be elucidated, for example, whether hUCB-MNCs directly or indirectly modulate vascular function and metabolism. The fate and activity of the inoculated hUCB-MNCs in mice should be revealed with time. Since our data showed that the number and the interval of incorporation of transplanted hUCB-MNCs into the MCT-induced PH mouse lung seemed to be limited, the so-called paracrine/autocrine mechanism by local secretion of cytokines/chemokines and activation of intracellular cell signaling pathways consequent to hUCB-MNC transplantation may underlie both the longevity and intensity of the improvement of PH. To reveal the possible mechanism between the small number of fluorescently labeled hUCB-MNCs in the lung which reached a peak at as early as at one hour after transplantation and the mechanism by which single hUCB-MNC transplantation dramatically ameliorated pulmonary hypertension at 4 weeks, more sophisticated research by using specific antibodies for human vascular cells and other cellular components will be needed.

Also to understand the basal mechanisms involved in the hUCB-MNC-mediated phenotypic changes and the level of interstitial fibrosis, further analyses for the interaction between hUCB-MNC and vascular function in the process of EC dysfunction and the development of vascular diseases are indispensable.

#### CONCLUSIONS

hUCB-MNC transplantation may provide a new therapeutic potential for patients with severe PAH and/or primitive hypoplastic pulmonary vasculature. Furthermore, if restoration therapy using unpurified populations of hUCB-MNCs can be used in patients with PAH, it would be very useful for treating the disease having limited long-term therapeutic options.

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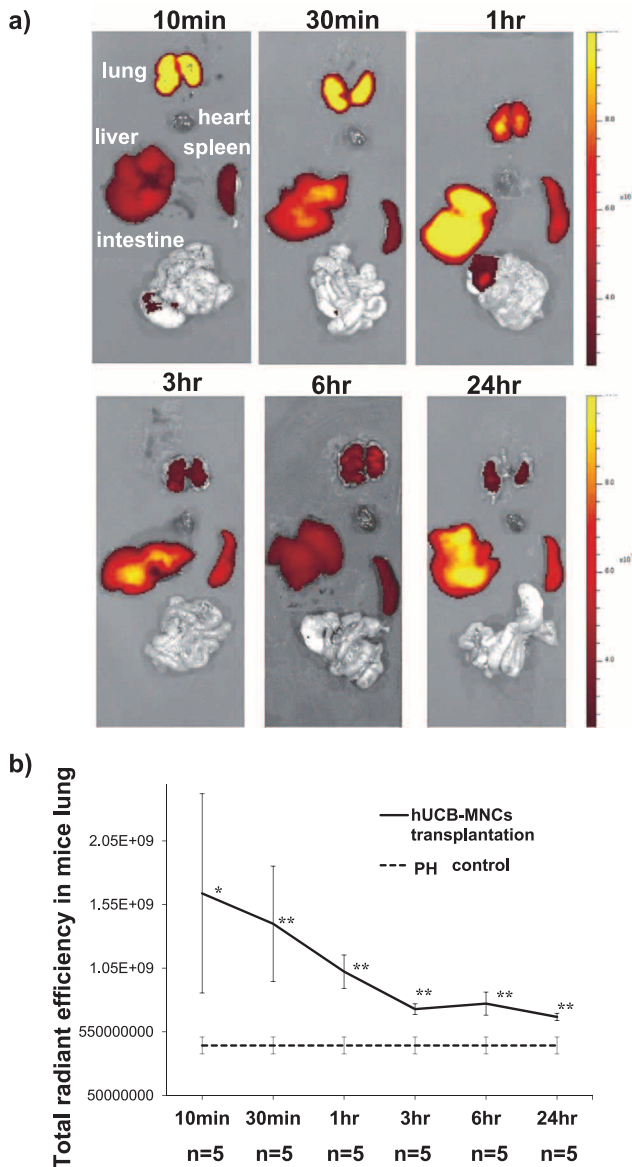


Fig. 6 Assessment of intrapulmonary trapping of DiR-labeled hUCB-MNCs by bioluminescence imaging.

a) Bioluminescence images of extracted organs including the lung, heart, liver, spleen, and intestine at 10 min (n=5), 30 min (n=5), 1 hr (n=5), 3 hr (n=5), 6 hr (n=5) and 24 hr (n=5) after injection of hUCB-MNCs. N, number of mice.

b) ROI values of the lung after hUCB-MNC transplantation for monocrotaline-induced PH mice and those after vehicle injection for PH mice are shown as photons per second/microwatts per square centimeter. PH, pulmonary hypertension; HUCB-MNCs, human umbilical cord blood-derived mononuclear cells; n, number of mice. (\*P<0.05; \*\*p<0.01)

## DISCLOSURES

The authors have declared that no conflict of interest exists.

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